



Patricia
Taylor*
Associate Counsel

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BIOGRAPHY

Patricia Taylor is an experienced commercial litigation lawyer whose primary areas of practice include securities regulatory defense, shareholder disputes and advising issuers and market participants.

Patricia's clients benefit from her extensive experience in securities litigation and regulation. The knowledge acquired and the relationships she developed first as a member of the enforcement staff at the British Columbia Securities Commission and now as defence counsel, provide her with a solid understanding of securities regulation and commercial disputes. She represents clients in investigations and enforcement hearings before provincial securities commissions, and regulatory bodies such as the Investment Industry Regulatory Organization of Canada (IIROC) and the Mutual Fund Dealers Association (MFDA). In particular, Patricia acts for clients where fraud, insider trading, market manipulation, illegal distributions, incorrect suitability advice, and unauthorized discretionary trading are alleged.

Patricia regularly advises market participants with respect to registration requirements. Compliance and corporate governance advice round out Patricia's practice area, covering most aspects of the field of securities regulation. Her regulatory compliance work includes acting for startup companies, finders and participants in the mining sphere. Patricia provides ongoing advice to portfolio managers faced with compliance audits. Her focus is providing pragmatic solutions to individual and corporate clients.

Patricia has appeared before all levels of courts including the Supreme Court of British Columbia, the Court of Appeal for British Columbia and the Supreme Court of Canada.

PRACTICE AREAS

Securities Regulation
Appeals & Judicial Review
Business Disputes
Professional Regulation
Class Action

EDUCATION

LLB, University of Western
Ontario (1985)
BA, Hillsdale College (1981)

BAR ADMISSION

Ontario, 1987
British Columbia, 1995

NOTABLE CASES

Re Forum National Investments et al, 2021 BCSECCOM 354

Counsel for the successful respondent in a British Columbia Securities Commission hearing in which all allegations against the client were dismissed. The case alleged market manipulation and the filing of false or misleading information in an affidavit. Further represented the same client in related extensive procedural applications at the Commission, the British Columbia Supreme Court and the British Columbia Court of Appeal including contempt applications, applications to strike Non-Disclosure Orders, further disclosure, stays of proceedings and a constitutional challenge.

Patrick and Reiman v Lazerson and MGX Minerals, 2020 BCSC (17 January 2020), Vancouver (in Chambers)

Counsel for the successful officer and director of a public company dismissing a petition for a declaration and order that a motion to terminate him as President, CEO and signing authority for the company was duly passed.

Re Canaco Resources Inc., 2013 BCSECCOM 310

Counsel for the successful mining company, it's President, CEO and Qualified Person in a British Columbia Securities Commission proceeding in which all allegations of failure to disclose drill results as a material change and failure to act in the best interests of the Company contrary to the public interest were dismissed. Represented the same client in related Commission applications for further and better disclosure.

Re Reynolds, 2013 BCSECCOM 15

In a British Columbia Securities Commission proceeding, counsel for the successful director of company, in which all allegations were dismissed. The case alleged market manipulation and further claimed the director's conduct caused or contributed to an artificial price of the company's shares.